



NHTSA Business Rules

PGA Message Set ACE CATAIR

Statements that define or limit actions concerning the importation of motor vehicles or motor vehicle equipment items subject to the statutes and regulations administered by the National Highway Traffic Safety Administration (NHTSA), an agency of the U.S. Department of Transportation (DOT)

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NHTSA Business Rules

General Comments

Per agreement with CBP, for entry filings with a 'Box 2B' designation in the PG22 record, CBP will perform VIN validation. For entry filings with a 'Box 2A' designation, AND if the quantity of vehicles is 3 or less (the number of cars will be indicated by the trade in the PG29 record), CBP will also perform VIN validation. For all other rules, CBP will check that the VIN field is populated, but will not validate the number.

During the NHTSA pilot program, entries that violate these business rules generally should be accepted with a warning message sent to the trade and NHTSA, EXCEPT as shown in the following matrix. After we gain experience with the pilot program and unless otherwise indicated by circumstances, we intend that most business rule violations will cause the entries to be rejected EXCEPT as shown in the matrix:

During Pilot		Post Pilot	
Business Rule	Action	Business Rule	Action
Rule 1	Reject	Rule 1	Reject
Rule 2	Warn	Rule 2	Reject
Rule 3	Warn	Rule 3	Reject
Rule 4	Warn	Rule 4	Warn
Rule 5	Warn	Rule 5	Warn
Rule 6	Warn	Rule 6	Reject
Rule 7	Warn	Rule 7	Reject
Rule 8	Warn	Rule 8	Reject
Rule 9	Reject	Rule 9	Reject
Rule 10	Reject	Rule 10	Reject
Rule 11	Warn	Rule 11	Reject
Rule 12	Warn	Rule 12	Warn
Rule 13	Warn	Rule 13	Warn
Rule 14	Reject	Rule 14	Reject
Rule 15	Warn	Rule 15	Warn
Rule 16	Reject	Rule 16	Reject
Rule 17	Warn	Rule 17	Warn
Rule 18	Warn	Rule 18	Reject
Rule 19	Warn	Rule 19	Reject
Rule 20	Warn	Rule 20	Warn
Rule 21	Reject	Rule 21	Reject
Rule 22	Reject	Rule 22	Reject
Rule 23	Warn	Rule 23	Warn
Rule 24	Warn	Rule 24	Warn
Rule 25	Reject	Rule 25	Reject

HS-7 Declaration Code and HS-7 Box Number Requirement

Pursuant to NHTSA regulations at 49 CFR 591.5, the HS-7 Declaration form must be filed with U.S. Customs and Border Protection (CBP) for each motor vehicle and motor vehicle equipment item imported into the United States. For all importations, Code “946” (identifying that the HS-7 Declaration form is being filed) must be present in PGA Record Identifier PG22 at Positions 6-12. Likewise for all importations, one of the 14 Boxes on the HS-7 Declaration form (that are identified by Numbers: 1, 2A, 2B, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, or 13) must be present in PGA Record Identifier PG22 at Positions 13-17. If Code 946 and a HS-7 Box Number are not present in PGA Record Identifier PG22, the entry must be rejected.

Box 1 – Vehicle - 591.5(i)(1)

A nonconforming motor vehicle may be imported on a permanent basis without restriction only if the vehicle is at least 25 years old based on its date of manufacture.

Programmer’s note:

The vehicle’s month and year of production are shown in PGA Record Identifier PG07 at Position 55-60. The computed age of the vehicle should be determined by subtracting the vehicle’s production month and year from the current month and year. If the computed difference is 25 or greater, then the vehicle is eligible. If the computed difference is less than 25, then the entry must be rejected.

In the case of multiple PG07 records, the conditions for each must be satisfied as stated below. If one record does not meet the conditions, the entry must be rejected.

Decision Table - Box 1 Vehicle

NHTSA Business Rule 1 (NHT_1_001)	
Box 1 – Vehicle - 591.5(i)(1)	
If...	Then...
The PGA Record Identifier PG01 at Positions 11-13 shows “MVS” [and] The PGA Record Identifier PG22 at Positions 6-12 shows “946” [and] The PGA Record Identifier PG22 at Positions 13-17 shows “1”	A PGA Record Identifier PG07 must be present with: “AKG” at Positions 61-63 [and] A “vehicle identification number” at Positions 64-80 [and] The PGA Record Identifier PG07 at Position 55-60 must show a date [MMCCYY] [and] This value must be subtracted from the current date [MMCCYY] [and]

NHTSA Business Rule 1 (NHT_1_001)	
	The computed difference must be ≥ 25
If these conditions are not met, reject the entry	

Box 1 – Equipment - 591.5(i)(2)

A nonconforming motor vehicle equipment item may be imported on a permanent basis without restriction only if it was manufactured on a date when no applicable safety or theft prevention standard was in effect.

Programmer's note:

NHTSA's program codes for equipment that is subject to either the Federal motor vehicle safety standards (FMVSS) or the Federal motor vehicle theft prevention standard (FMVTPS) are "REI" or "TPE", respectively. If either of these codes appears in the PGA Record Identifier PG01 at Positions 11-13 and the PGA Record Identifier PG22 at Positions 13-17 shows "1", reject the entry.

Decision Table – Box 1 Equipment

NHTSA Business Rule 2 (NHT_1_002)	
Box 1 – Equipment (FMVSS Regulated) - 591.5(i)(1)	
If...	Then...
The PGA Record Identifier PG01 at Positions 11-13 shows "REI" [and] The PGA Record Identifier PG22 at Positions 6-12 shows "946" [and] The PGA Record Identifier PG22 at Positions 13-17 shows "1"	Reject the entry

Decision Table – Box 1 Equipment

NHTSA Business Rule 3 (NHT_1_003)	
Box 1 – Equipment (Theft Prevention) - 591.5(i)(1)	
If...	Then...
The PGA Record Identifier PG01 at Positions 11-13 shows "TPE" [and] The PGA Record Identifier PG22 at Positions 6-12 shows "946" [and] The PGA Record Identifier PG22 at Positions 13-17 shows "1"	Reject the entry

Box 2A – Equipment - 591.5(b)

A motor vehicle equipment item may be imported on a permanent basis without restriction only if:

- a) The motor equipment item conforms with all applicable safety and theft prevention standards; and
- b) The motor equipment item bears a certification label or tag to that effect permanently affixed by the manufacturer to the equipment item or its delivery container.

Programmer's note:

NHTSA assigns identification codes to manufacturers of tires and glazing (automotive glass and plastics). If PGA Record Identifier PG10 at Positions 11-15 shows the code REI1 (tires) or REI7 (Glazing), then the PGA Record Identifier PG19 at Positions 8-10 must show "TMC" (for tires) or "GMC" (for glazing) and Positions 11-25 must contain the manufacturer's NHTSA-assigned tire or glazing code. If these conditions are not met, reject the entry.

Decision Table - Box 2A – Equipment

NHTSA Business Rule 6 (NHT_1_006)	
Box 2A – Equipment (Tires) - 591.5(b)	
If...	Then...
The PGA Record Identifier PG01 at Positions 11-13 shows "REI" [and] The PGA Record Identifier PG10 at Positions 11-15 shows: "REI1" (tires) [and] The PGA Record Identifier PG22 at Positions 6-12 shows "946" [and] The PGA Record Identifier PG22 at Positions 13-17 shows "2A"	The PGA Record Identifier PG19 at Positions 8-10 must show: "TMC" (for tires) [and] The PGA Record Identifier PG19 at Positions 11-25 must show the valid manufacturer's NHTSA-assigned "tire code" [reference table lookup]
If these conditions are not met, reject the entry	

Decision Table - Box 2A – Equipment

NHTSA Business Rule 7 (NHT_1_007)	
Box 2A – Equipment (Glazing) - 591.5(b)	
If...	Then...
The PGA Record Identifier PG01 at Positions 11-13 shows "REI" [and] The PGA Record Identifier PG10 at Positions 11-15 shows "REI7" (glazing) [and] The PGA Record Identifier PG22 at Positions 6-12 shows "946" [and] The PGA Record Identifier PG22 at Positions 13-17 shows "2A"	The PGA Record Identifier PG19 at Positions 8-10 must show: "GMC" (for glazing) [and] The PGA Record Identifier PG19 at Positions 11-25 must show the valid manufacturer's NHTSA-assigned "glazing code" [reference table lookup]
If these conditions are not met, reject the entry	

If PGA Record Identifier PG01 at Positions 11-13 shows "REI", then the PGA Record Identifier PG07 at Positions 5-39 must show the make of the product by manufacturer and Positions 40-54 must contain the manufacturer's model name or model number. If these conditions are not met, reject the entry.

Decision Table - Box 2A – Equipment

NHTSA Business Rule 8 (NHT_1_008)	
Box 2A – Equipment - 591.5(b)	
If...	Then...
The PGA Record Identifier PG01 at Positions 11-13 shows "REI" [and] The PGA Record Identifier PG22 at Positions 6-12 shows "946" [and] The PGA Record Identifier PG22 at Positions 13-17 shows "2A"	The PGA Record Identifier PG07 at Positions 5-39 must show: A completed entry for the make of the product by manufacturer [and] The PGA Record Identifier PG07 at Positions 40-54 must show: A completed entry for the manufacturer's model name or model number
If these conditions are not met, reject the entry	

Box 2B – Vehicle - 591.5(g)

A nonconforming motor vehicle may be imported on a permanent basis without restriction only if:

- a) The vehicle is being imported by the importer for personal use; and
- b) The vehicle is not a salvage motor vehicle, a repaired salvage motor vehicle, or a reconstructed motor vehicle; and
- c) The vehicle was certified by its original manufacturer as complying with all applicable Canadian motor vehicle safety standards; and
- d) The vehicle's original manufacturer has informed NHTSA that the vehicle complies with all applicable Federal motor vehicle safety, bumper, and theft prevention standards; or
- e) The vehicle's original manufacturer has informed NHTSA that the vehicle complies with all applicable Federal motor vehicle safety, bumper, and theft prevention standards except for:
 - The labeling requirements of Federal motor vehicle safety standard Nos. 101 and 110 or 120; and
 - The specifications of Federal motor vehicle safety standard No. 108 relating to daytime running lamps.

Programmer's note:

If PGA Record Identifier PG22 at Positions 6-12 shows "946" and Positions 13-17 shows "2B", then a PGA Record Identifier PG01 must be present with "MVS" at Positions 11-13 and "Y" at Position 17, a PGA Record Identifier PG07 must be present with "AKG" at Positions 61-63 and a VIN must appear in Positions 64-80, and the VIN must calculate, and a second PGA Record Identifier PG22 must be present with "872" (Signed Manufacturer's Compliance Letter), "Y", and a valid date. If these conditions are not met, reject the entry.

Decision Table - Box 2B – Vehicle

NHTSA Business Rule 9 (NHT_1_009)	
Box 2B – Vehicle - 591.5(g)	
If...	Then...
The PGA Record Identifier PG22 at Positions 6-12 shows “946” [and] The PGA Record Identifier PG22 at Positions 13-17 shows “2B”	A PGA Record Identifier PG01 must be present with: “MVS” at Positions 11-13 [and] “Y” at Position 17 meaning that a “signed manufacturer’s compliance letter” has been submitted to DIS [and] A PGA Record Identifier PG07 must be present with: “AKG” at Positions 61-63 [and] A “vehicle identification number” at Positions 64-80 [and] A second PGA Record Identifier PG22 must be present with “872” shown in Positions 6-12 [and] “Y” in Position 25 [and] A valid date MMDDCCYY in Positions 26-33
If these conditions are not met, reject the entry	

Box 3 – Vehicle (Non-Canadian Certified) - 591.5(f), 591.6(c)

The nonconforming vehicle may be imported only if:

- a) NHTSA has decided the model and model year of the vehicle to be imported is eligible for importation into the United States; and
- b) The vehicle is not a salvage motor vehicle or a reconstructed motor vehicle; and
- c) The importer has furnished to NHTSA a bond in an amount equal to 150% of the dutiable value of the vehicle; and
- d) The importer is registered with NHTSA and such registration has not been suspended or revoked; or
- e) The importer has executed a contract or other agreement with an importer who has registered with NHTSA and whose registration has not been suspended or revoked and the importer provides NHTSA with a copy of the contract.

Programmer’s note:

If PGA Record Identifier PG14 at Positions 9-11 is equal to “VSP” or “VCP” and PGA Record Identifier PG22 at Positions 6-12 shows “946” and Positions 13-17 show “3”, then:

A PGA Record Identifier PG01 must be present with “MVS” at Positions 11-13 and “Y” at Position 17, and
 A PGA Record Identifier PG07 must be present with “AKG” in Positions 61-63, a “vehicle identification number” in Positions 64-80, and

A PGA Record Identifier PG10 must be present with “V06” in Positions 16-19 and a valid “model year” that matches NHTSA’s “vehicle import eligibility number” [This may be validated against a NHTSA reference table], and

A second PGA Record Identifier PG10 must be present with “V01” in Positions 16-19, and a valid “L” [or] “R” that matches NHTSA’s “vehicle import eligibility number” [This may be validated against a NHTSA reference table], and

A PGA Record Identifier PG14 must be present with “NH0” in Positions 6-8 and a valid NHTSA-assigned “Registered Importer number” in Positions 9-41 [This may be validated against a NHTSA reference table], and

A second PGA Record Identifier PG14 must be present with “NH3” in Positions 6-8 and a valid NHTSA-assigned “vehicle import eligibility number” in Positions 9-41 [This may be validated against a NHTSA reference table], and

A PGA Record Identifier PG35 must be present with a valid “Surety Code” in Positions 5-7 [This may be validated against a CBP’s reference table] and a “DOT Bond Amount” in Positions 39-46. If these conditions are not met, reject the entry.

Decision Table - Box 3 – Vehicle (Non-Canadian Certified)

NHTSA Business Rule 10 (NHT_1_010)	
Box 3 – Vehicle - 591.5(f), 591.6(c)	
If...	Then...
The PGA Record Identifier PG14 at Positions 9-11 is equal to: “VSP” [or] “VCP” [and] The PGA Record Identifier PG22 at Positions 6-12 shows “946” [and] The PGA Record Identifier PG22 at Positions 13-17 shows “3”	A PGA Record Identifier PG01 must be present with: “MVS” at Positions 11-13 [and] “Y” at Position 17 meaning that HS-474 DOT Conformance Bond” has been submitted to DIS [and] A PGA Record Identifier PG07 must be present with: “AKG” at Positions 61-63 [and] A “vehicle identification number” at Positions 64-80 [and] A PGA Record Identifier PG10 must be present with: “V06” in Positions 16-19 [and] A valid “model year” that matches NHTSA’s “vehicle import eligibility number” [reference table lookup] [and]

NHTSA Business Rule 10 (NHT_1_010)

A second PGA Record Identifier PG10 must be present with:
"V01" in Positions 16-19
[and]
A valid "L" [or] "R" that matches NHTSA's "vehicle import eligibility number" [reference table lookup]
[and]
A PGA Record Identifier PG14 must be present with:
"NH0" in Positions 6-8
[and]
A valid NHTSA-assigned "Registered Importer number" in Positions 9-41 [reference table lookup]
[and]
A second PGA Record Identifier PG14 must be present with:
"NH3" in Positions 6-8
[and]
A valid NHTSA-assigned "vehicle import eligibility number" in Positions 9-41 [reference table lookup]
[and]
A PGA Record Identifier PG35 must be present with:
A valid "Surety Code" in Positions 5-7 [CBP reference table lookup]
[and]
A "DOT Bond Amount" in Positions 39-46

If these conditions are not met, reject the entry